

Structure of the Presentation

- 1 Introduction
- 2 The Generic Multiverse with a core
- 3 A first argument for the GM_H
 - Restrictiveness
 - Refining of the definitions
- 4 A further refinement
 - Solving Hamkins' problems
 - A second argument for the GM_H
- 5 Conclusions

Section outline

- ▶ The multiverse conception in set theory
- ▶ The naturalistic approach
- ▶ A brief sketch of the argument

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Multiverse conceptions in set theory

The *broad* multiverse

All the possible models of all possible collections of axioms are part of the multiverse.

The generic multiverses

This multiverse is formed by all the models of $ZFC(+LCs)$ obtained by set forcing. Then, we differentiate between universes using a strong logic (an idea owed to Woodin, from now on GM_Ω) or supposing the existence of a core (an idea owed to Steel, that is the GM_H).

The Hyperuniverse

The collection of all countable transitive models of ZFC .

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Naturalism in Philosophy of Mathematics

UNIFY

Our framework should be *foundational*: we need an arena in which all mathematical phenomena are represented.

MAXIMIZE

In our framework there should be as many objects as possible.

The main argument

- ▶ The GM_H maximizes the number of isomorphism types available;
- ▶ Moreover, classic set theory ZFC is *restrictive* over the GM_H ;
- ▶ Thus, the GM_H *strongly maximizes* over ZFC .

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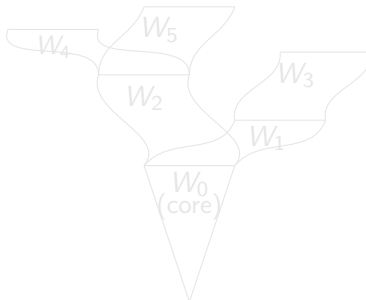
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The Generic Multiverse with a core (GM_H)

Definition of the *core*

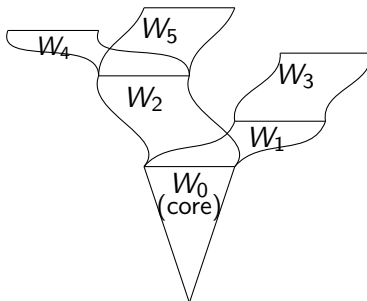
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The Multiverse Language MV for GM_H

- ▶ The usual syntax of the language of set theory, but with two sorts:
 - ▶ sets (as usual);
 - ▶ *worlds*;
- ▶ this language is expressive enough to state versions of the axioms of *ZFC* and large cardinals hypotheses;

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The Axioms of the GM_H

Axioms

- ▶ For each axiom ϕ of set theory and for every world W of the multiverse, there exists a translation of ϕ in W , denoted ϕ^W ;
- ▶ Every world is a transitive proper class. An object is a set just in case it belongs to some world;
- ▶ If W is a world and $\mathbb{P} \in W$ is a poset, then there is a world of the form $W[G]$ where G is \mathbb{P} -generic over W ;
- ▶ If U is a world, and $U = W[G]$, where G is \mathbb{P} -generic over W , then W is a world.

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The Axioms of the GM_H (cont.)

Amalgamation

If U and W are worlds, then there are G and H set generic over them such that $W[G] = U[H]$.

Axiom H

For any sentence ϕ in LST : if ϕ is true, then for some $M = AD^+ + V = L(P(\mathbb{R}))$ such that $\mathbb{R} \cup OR \subseteq M$, $(HOD \cap V_\Theta)^M \models \phi$. Consequences:

- It implies that the multiverse has a core;
- can be used to study the definability of hierarchies;
- it is consistent with large cardinals hypotheses.

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Section outline

- ▶ Maddy's formal definition of MAXIMIZE:
 - ▶ Restrictiveness;
 - ▶ the interpretation relation τ ;
 - ▶ fair interpretations;
 - ▶ Maddy's interpretations;
- ▶ A first argument in favour of the GM_H ;
- ▶ Hamkins' counterexamples in Maddy's definitions.

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Definitions

Let S and T be set theories.

- ▶ $T \trianglelefteq$ - *recaptures* S if and only if there is a consistent extension T^* of T such that $S \trianglelefteq T^*$;
- ▶ S *weakly* \trianglelefteq - *maximizes* over T if and only if $T \triangleleft S$ and T doesn't \trianglelefteq - *recaptures* S , and we write $T <_{\text{weak}}^{\trianglelefteq} S$;
- ▶ S *strongly* \trianglelefteq - *maximizes* over T if and only if it weakly \trianglelefteq - *maximizes* over T and $S \cup T$ is inconsistent, and we write $T <_{\text{strong}}^{\trianglelefteq} S$;
- ▶ T is weakly/strongly \trianglelefteq - *restrictive* if and only if there is a set theory T^* that is consistent that weakly/strongly \trianglelefteq - *maximizes* over T .

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Restrictiveness of ZFC

Proposition

ZFC is strongly restrictive over GM_H .

► GM_H strongly maximizes over ZFC iff

1 GM_H weakly maximizes over ZFC iff

■ $ZFC \trianglelefteq GM_H$;

■ $\text{HOD} \models \text{there is a } T \text{ such that } ZFC \text{ holds in } GM_H \trianglelefteq T$

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Translations

A translation $\tau = \langle \delta, \epsilon \rangle$ consists of a \mathcal{L}_ϵ -formula with one variable δ and of a \mathcal{L}_ϵ -formula with two variable:

- ▶ $(x \in y)^\tau := \delta(x) \wedge \delta(y) \wedge \epsilon(x, y);$
- ▶ $(\phi \wedge \psi)^\tau := \phi^\tau \wedge \psi^\tau;$
- ▶ $(\neg \phi)^\tau := \neg \phi^\tau;$
- ▶ $(\exists x \phi)^\tau := \exists x[\delta(x) \wedge \phi^\tau].$

Proposition [Tarski]

A translation $\tau \langle \delta, \epsilon \rangle$ is an *interpretation* of T in S if and only if for every axiom ϕ of T , $S \vdash \phi^\tau$.

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Interpretations

Let S and T be set theories and let $\tau = \langle \delta, \epsilon \rangle$ be a translation of T in S .

- ▶ τ is a \in -*interpretation* of T in S if and only if $S \vdash \forall x \forall y [(x \in y)^\tau \rightarrow x \in y]$;
- ▶ τ is a *transitive interpretation* of T in S if and only if τ is a \in -interpretation and $S \vdash \forall x \forall y [(x \in y \wedge \delta(y)) \rightarrow \delta(x)]$;
- ▶ τ is an *inner model interpretation* of T in S if and only if τ is a transitive interpretation of T in S and $S \vdash \forall \alpha [\delta(\alpha)]$.

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Fair interpretations

Inner model interpretation

Let S and T be set theories. $\tau = \langle \delta, \epsilon \rangle$ is a *truncated inner model interpretation* of T in S if and only if τ is a transitive interpretation of T in S and $S \vdash \text{Inacc}(\kappa) \wedge \forall \alpha [\alpha < \kappa \leftrightarrow \delta(\alpha)]$.

Fair interpretation

Let S and T be set theories. τ is a *fair interpretation* of T in S if and only if either τ is a inner model interpretation or is a truncated inner model interpretation (in symbols $T \trianglelefteq_{\text{fair}} S$).

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Maddy interpretations

Maximizing interpretation

Let S and T be set theories and let $\tau = \langle \delta, \epsilon \rangle$ be an interpretation of T in S . τ is a maximizing interpretation of T in S if and only if $S \vdash \text{NewIso}(\tau)$.

Maddy interpretation

Let S and T be set theories. τ is a *Maddy interpretation* of T in S if and only if τ is a fair and maximizing interpretation of T in S . If this is the case, we write $T \trianglelefteq_{\text{fair}} S$.

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A second proposition

Proposition

$$ZFC \trianglelefteq_{fair} GM_H.$$

GM_H Maddy interprets ZFC iff there exists an interpretation τ of ZFC in GM_H such that $ZFC \trianglelefteq_{fair} GM_H$;

- τ is a maximizing interpretation of ZFC in GM_H iff $GM_H \vdash \text{NewIso}(\tau)$;
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Hamkins' counterexamples

- ▶ The relation \trianglelefteq_{Maddy} defined by Maddy has the following structural problems:
 - ▶ the disjunction is not an upper bound;
 - ▶ it is not transitive.
- ▶ Hamkins' solution, viz. to replace \trianglelefteq_{Maddy} with a transitive interpretation relation, is not acceptable.
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Section's outline

- ▶ Definitions to avoid Hamkins' counterexamples;
- ▶ The new, and final, argument in favour of the GM_H .

Section's outline

- ▶ Definitions to avoid Hamkins' counterexamples;
- ▶ The new, and final, argument in favour of the GM_H .

Structure of the Presentation

- 1 Introduction
- 2 The Generic Multiverse with a core
- 3 A first argument for the GM_H
 - Restrictiveness
 - Refining of the definitions
- 4 A further refinement
 - Solving Hamkins' problems
 - A second argument for the GM_H
- 5 Conclusions

Possibly truncated interpretation τ (1)

Let $\tau = \langle \delta, \epsilon \rangle$ be a translation and rk be the Mirimanoff rank function. Then we write $\delta_\alpha(y)$ for $\delta(y) \wedge rk(y) < \alpha$ and τ_α for $\langle \delta_\alpha, \epsilon \rangle$.

Possibly truncated interpretation

Let S and T – where T is $BST + A_0, \dots, A_n$ – be set theories. A translation $\tau = \langle \delta, \epsilon \rangle$ is a *possibly truncated interpretation* of T in S if and only if

- $S \vdash \phi^\tau$ for every axiom of T ;
- $S \vdash A^* \vee (\exists x (rank(x)^* \wedge A^*))$.

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Let S and T – T being $BST + A_0, \dots, A_n$ – be set theories and let $\tau = \langle \delta, \epsilon \rangle$ be a possibly truncated interpretation of T in S .

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- ▶ τ is a *possibly truncated inner model interpretation* of T in S if and only if τ is a possibly truncated transitive interpretation and $S \vdash \forall \alpha [\delta(\alpha)]$. If there is such τ we write $T \trianglelefteq_{ptim} S$.

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Maximizing possibly truncated interpretation

Let S and $T = T$ being $BST + A_0, \dots, A_n$ – be set theories. A translation $\tau = \langle \delta, \epsilon \rangle$ is a maximizing possibly truncated interpretation of T in S if and only if

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Maddy* interpretation

Let S and T – T being $BST + A_0, \dots, A_n$ – be set theories and let τ be a possibly truncated interpretation of T in S . τ is a *Maddy** interpretation if and only if

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If this is the case, we say that S *Maddy** interpretes T and we write $T \trianglelefteq_{\text{Maddy}^*} S$.

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Solving Hamkins' problems

Proposition [Incurvati, Löwe]

Both \trianglelefteq_{ptim} and $\trianglelefteq_{Maddy^*}$ are monotone.

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If $T \trianglelefteq_{ptim} BST + A$ and $T \trianglelefteq_{ptim} BST + B$, then
 $T \trianglelefteq_{ptim} BST + A \vee B$. The same holds for $\trianglelefteq_{Maddy^*}$.

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Sketch of the proof (1)

$$1 \quad ZFC \trianglelefteq_{Maddy^*} GM_H \iff ZFC \trianglelefteq_{ptim} GM_H$$

► τ is a possibly truncated transitive interpretation of ZFC in GM_H iff;

■ τ is a possible truncated \in -interpretation of ZFC in GM_H

► $\tau \models \forall x \neg V(M(x))$

■ $ZFC \trianglelefteq_{ptim} GM_H \iff$ For such that

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The final proposition

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ZFC is strongly restrictive over GM_H .

► GM_H strongly maximizes over ZFC iff

1 GM_H weakly maximizes over ZFC iff

■ $ZFC \trianglelefteq_{\text{Maddy}} GM_H$;

■ ZFC is not a Σ_1 -theory of the universe V (this is a consequence of the

strong restriction of ZFC over GM_H);

2 $GM_H \cup ZFC$ is inconsistent;

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